

# FDA Considerations for Growing Spine Implants

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# Today's Talk

- ❖ Paul's Questions
- ❖ Does Scoliosis qualify as a rare disease?
- ❖ Medical Device Regulation Lightning Round
- ❖ Susan's Answers
- ❖ Resources for you

# Paul's Questions

- ❖ What is the future of the 510K process?
- ❖ What is an HDE and how does it differ from a PMA?
- ❖ How to succeed despite orphan market challenges?
- ❖ How to minimize “pain” in the regulatory process?
- ❖ How can professional societies contribute?
- ❖ What would be the process for a hypothetical “self-powered growing implant” or a simpler “rib anchor”?
- ❖ How do we use device experience from other countries?
- ❖ What might be the changes in the US regulatory mechanisms in the years ahead?

# Is Scoliosis Rare?

- ❖ Short answer: No---
- ❖ Categorized by: age of onset & etiology
- ❖ Idiopathic most common, by age of onset:
  - ◆ Infantile: < 3 years
  - ◆ Juvenile: 4 to 9 years
  - ◆ Adolescent: 10 years to skeletal maturity
- ❖ Early Onset: before 5 years, all etiologies
- ❖ A disease continuum; not a rare disease or condition

# Medical Device Regulation Lightning Round

# Device Classification

## Risk-Based Paradigm

Medical devices are classified and regulated according to their degree of risk

Class I

510(k) or Exempt



Class II

510(k) or Special Controls



Class III

PMA Approval



# Class III Medical Device

- ❖ Support or sustain human life
- ❖ Prevent impairment of human health
- ❖ Potential for unreasonable risk of illness or injury
- ❖ Requires Pre-Market Approval prior to marketing

# Types of CDRH Submissions

- ❖ *For Clearance or Approval*
  - ◆ Premarket Notification Submission 510(k)
  - ◆ Premarket Approval Submission (PMA)
  - ◆ Humanitarian Device Exemption (HDE)
- ❖ *For use in clinical studies to support clearance or approval*
  - ◆ Investigational Device Exemption (IDE)



# 510(k) Clearance

- ❖ Established in 1976 with the medical device amendments
- ❖ Marketing pathway for more than 90% of medical devices
- ❖ “Substantially equivalent” to predicate device
  - ◆ Same as device currently marketed
- ❖ Mostly Class I and II, few Class III devices
- ❖ 510(k) is a ***clearance*** (not an approval)

# 2010-2011: Evaluation of the 510(k) Regulatory Program

- ❖ *2010*: Internal evaluation by CDRH
  - ◆ 55 Recommendations to strengthen program
  - ◆ *Jan 2011*: CDRH announced 25 actions to implement 47 of 55 recommendations
- ❖ *2011*: External evaluation by Institute of Medicine
  - ◆ Recommended elimination of 510(k) program
  - ◆ FDA does not support ending the 510(k) program
  - ◆ FDA response to IOM report due in 2011

# Pre-Market Approval (PMA) Class III Medical Devices

- ❖ FDA scientific & regulatory review process to evaluate safety & effectiveness
- ❖ Required before device can be legally marketed
- ❖ Approval based on conclusion that sufficient valid scientific evidence to assure device is safe & effective for its intended use

# Investigational Device Exemption (IDE)

- ❖ IDE approval allows:
  - ◆ Lawful interstate shipment of the investigational device for use in clinical studies to support:
    - ◆ a 510(k) submission
    - ◆ a PMA submission
    - ◆ a HDE submission

# HDE Pathway Begins with HUD Designation

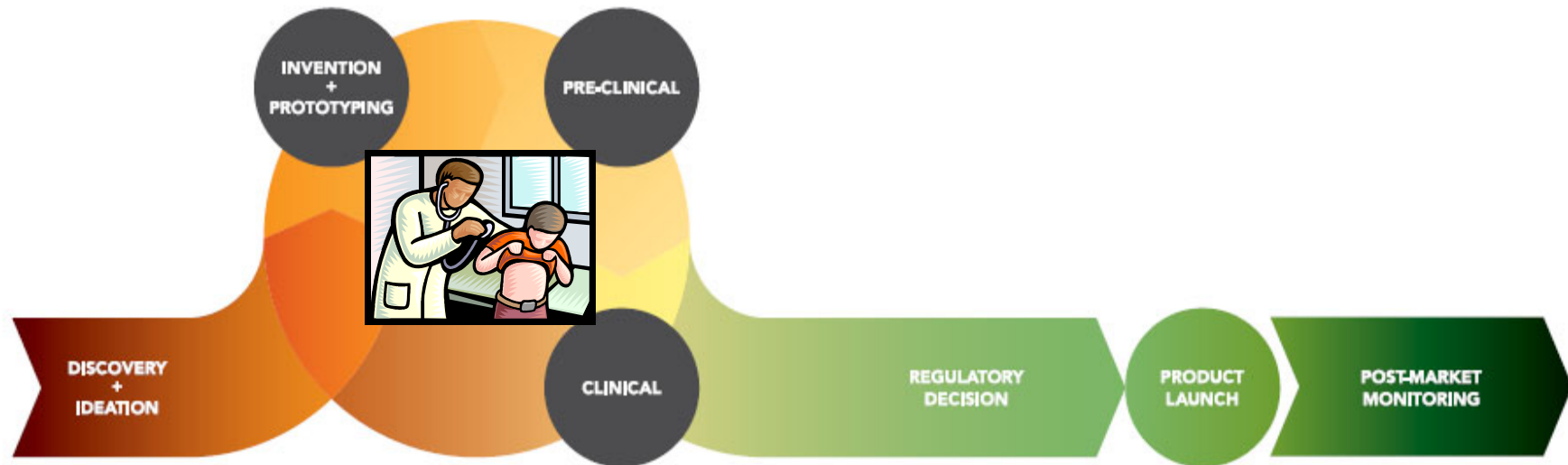
- ❖ Humanitarian Use Device (HUD)
  - ◆ Intended to treat a disease or condition affecting fewer than 4,000 individuals in the United States annually
  - ◆ No comparable device available
  - ◆ Determined by Office of Orphan Products Development
  - ◆ Profit for Pediatric HDE devices allowed
- ❖ HDE review & approval performed by CDRH

# HDE vs. PMA

- ❖ Both are marketing approvals
- ❖ Both subject to post-market Medical Device Reporting (MDR) requirements
- ❖ Approval thresholds differ:
  - ◆ PMA: safety and **effectiveness**
  - ◆ HDE: **probable benefit** outweighs the risks
    - ◆ No regulatory definition of probable benefit

# Tips for Optimal Device Development (Susan's Answers)

# Product Development Life Cycle





# Tips for Optimal Device Development

- ❖ Do your Homework!!
- ❖ Come Early & Come Often
- ❖ Do Excellent Clinical Studies
- ❖ Design for the patient population you intend to treat
- ❖ Yes FDA can accept Outside the United States (OUS) data, however...

# How Can Professional Societies Help?

- ❖ Strengthen scientific underpinnings to enable your device development efforts
  - ◆ Develop & validate effectiveness & safety endpoints
  - ◆ Develop registries for post-approval studies
  - ◆ Strengthen research networks to support therapeutic studies for rare diseases
  - ◆ Establish performance measures
  - ◆ Establish trial design models

# Susan's Answers to Paul's Questions

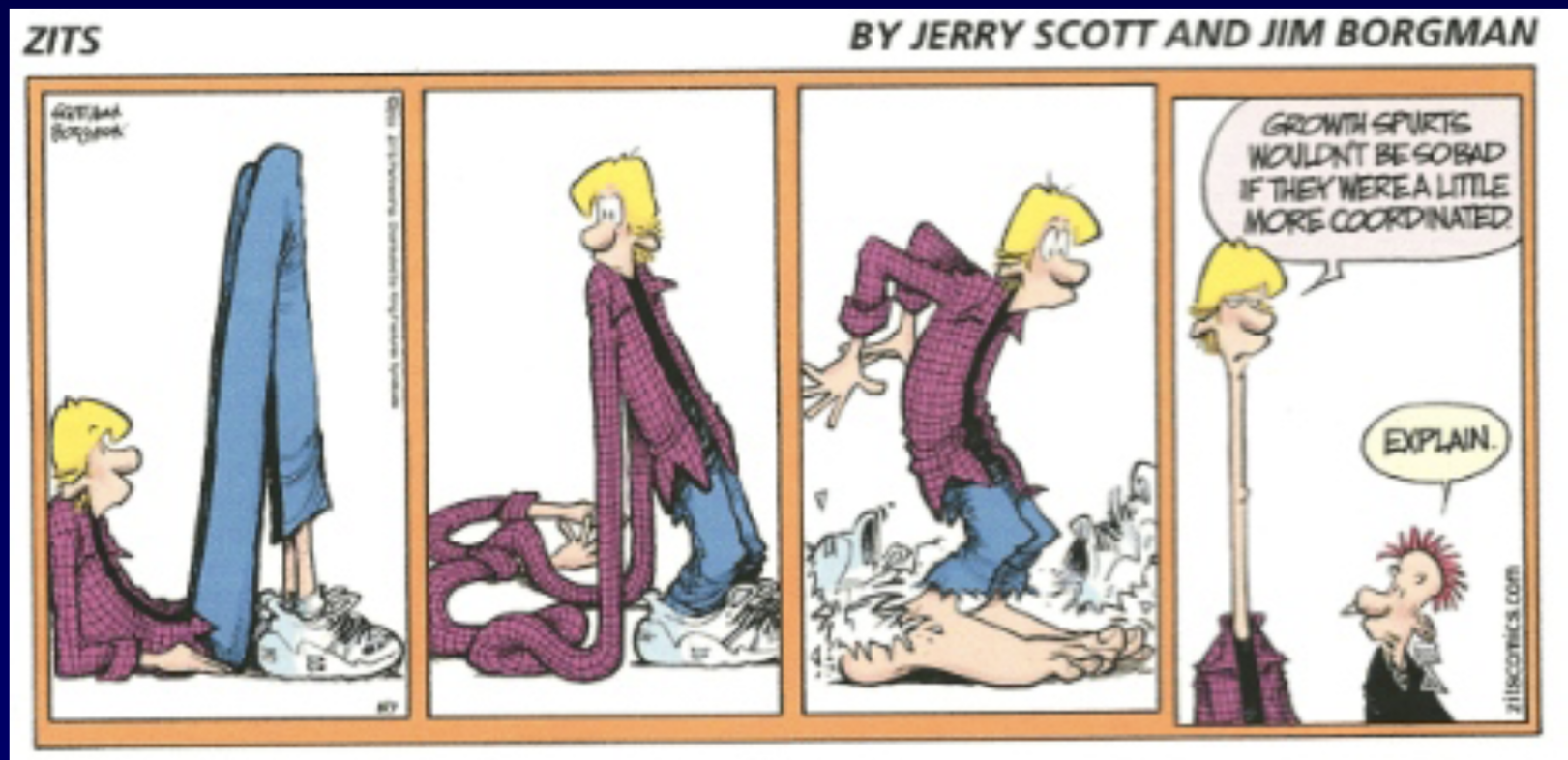
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# CDRH: Spinal Device Review Branch



ICEOS 2011

# What Complicates Pediatric Device Development...



# Thank you!

- ❖ Be sure you get a handout of FDA resources
- ❖ How to get in touch:

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